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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alex A. Behfar, et al.	)	
	)	
Serial Number: 10/802,734	)	Group No.: 2828
	)	
Filed: March 18, 2004	)	Examiner: Nguyen, Phillip
	)	
For: High SMSR Unidirectional Etched	)	Atty. Docket: Bin 9/US
Lasers and Low Back-Reflection	)	
Photonic Device	)	

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.97 and 1.98, applicants hereby submit the documents listed on the attached PTO/SB/08a.

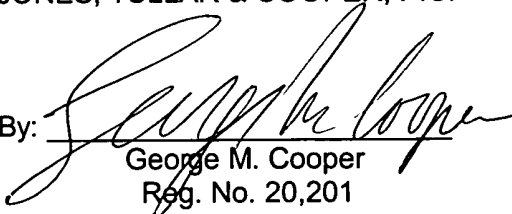
The listed documents were cited by The European Patent Office in a supplementary search report mailed 10 April 2006, a copy of which is attached. Copies of the cited documents will be furnished upon request.

Please charge any fee that may be required to Deposit Account No. 10-1213.

Respectfully Submitted,

Alex A. Behfar et al.  
Applicant

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Dated: October 16, 2006  
Docket No. BIN 9

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

***(Use as many sheets as necessary)***

Sheet

1

Of

1

**Complete if Known**

Application Number	10/802,734
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Filing Date	03/18/2004
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First Named Inventor	Alex A. Behfar, et al.
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Art Unit	2828
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Examiner Name	Nguyen, Phillip
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Attorney Docket Number	BIN 9 US
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		GB 2228339 A	08/22/1990	Plessy		
		EP 1130717 A	09/05/2001	Fuji		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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